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IAP20 Resides With 13 JAN 2006

Docket No.: 13111-00030-US1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Son Nguyen-Kim et al.

Application No.: National Phase of PCT/EP04/007742 Confirmation No.: N/A

Filed: Concurrently Herewith Art Unit: N/A

For: COSMETIC AND PHARMACEUTICAL

SUBSTANCES BASED ON

POLYELECTROLYTE COMPLEXES

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Application No.: National Phase of PCT/EP2004/007742 Docket No.: 13111-00030-US1

Citation AB is a US counterpart of European Application No. 670333 which is mentioned on page 2, lines 11-20 of the specification. Citation AC is a US counterpart of European Application No. 929285 which can be found on page 2, lines 22-25 of the specification. Citation AD is a US counterpart of European Application No. 1038891 found on page 2, lines 34-35 of the specification.

Citation BB is counterpart to WO 02/41856 which is mentioned on page 2, lines 37-44 of the specification. Citation BC is mentioned on page 3, line 2 of the specification and is a counterpart to WO 2004/058831 (mentioned in the International Search Report). Citation BD is mentioned on page 3, lines 16-27 of the specification and is a counterpart to WO 2004/058837 (mentioned in the International Search Report). Citation BE is counterpart to WO 00/27893 which is mentioned on page 2, lines 27-32 of the specification.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 13111-00030-US1.

Dated: January 11, 2006

Respectfully submitted,

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Attorney for Applicant

IAP20 Rec'd FCT/PTO 13 JAN 2006

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/B/PTO				Complete if Known 1, 2 3			
		٠.		Application Number	Not Wet Assigned		
11	NFORMATION	1 DI	SCLOSURE	Filing Date	Concurrently Herewith		
l s	STATEMENT BY APPLICANT			First Named Inventor	Son Nguyen-Kim		
				Art Unit	N/A		
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	13111-00030-US1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite	Document Number Publication Date		Name of Patentee or	Pages, Columns, Lines, Where	
	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AA*	US-4,668,508	05-26-1987	Grollier et al.		
	AB*	US-5,840,804	11-24-1998	Carl et al.		
	AC*	US-6,231,876 B1	05-15-2001	Niessner et al.		
	AD*	US-6,407,158 B1	06-18-2002	Kim et al.		
	AE*	US-6,482,394 B1	11-19-2002	Schehlmann et al.		

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,			
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵		
	ВА	GB-2 366 727 A	03-20-2002	Wella Aktiengesellschaft				
	BB	FR-2816833 A1 (Abstract)	11-21-2000	L'OREAL SA				
	ВС	DE-10261197 A1 (Abstract)	12-20-2002	BASF AG		V		
	BD	DE-10261750 A1 (Abstract)	12-30-2002	BASF AG				
	BE	DE-19851024 A1 (Abstract)	11-05-1998	BASF AG		1		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. \(^1\)Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^8\)
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420220	 		

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